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APR 12 2001

TO 2800 MAIL ROOM PATENT APPLICATION

Dep & Ref
#307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6/Reg.
for
Refund
Hawkins
4/27/01

In re Application of:)
: Examiner: N/Y/A
JEROME CROS and)
PHILIPPE VIAROUGE : Group Art Unit: 2834
Application No.: 09/656,085)
Filed: September 6, 2000)
For: BRUSH DC MOTORS AND AC)
COMMUTATOR MOTOR)
STRUCTURE WITH)
CONCENTRATED WINDINGS) March 7, 2001

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR REFUND

Adjustment date: 03/16/2001 NVILLARI
09/15/2000 HGORDS 00000015 09656085
01 FC:101 -690.00 OP
02 FC:102 -234.00 OP
03 FC:103 -144.00 OP

The Utility Patent Application transmittal

submitted with the initially filed papers in this application was accompanied by a large entity filing fee of \$1068. Also, Applicant submitted a response to the Notice to File Missing Parts on December 18, 2000 and paid the surcharge fee of \$130.00. Applicant in this case is a small entity, as evidenced by the Small Entity Declaration submitted on March 2, 2001 (copy attached). Therefore, Applicant should be subject to the small entity filing fee of \$534.00 and surcharge fee of \$60.00.

Adjustment date: 03/16/2001 NVILLARI
12/20/2000 SDUONG 00000139 09656085
01 FC:105 -130.00 OP

03/16/2001 NVILLARI 00000015 09656085

01 FC:201 345.00 OP
02 FC:202 117.00 OP
03 FC:203 72.00 OP
04 FC:205 65.00 OP

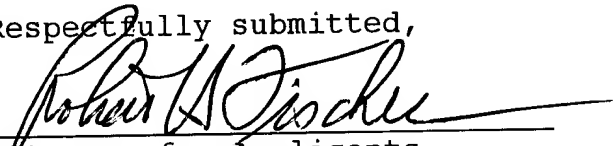
Repln. Ref: 03/16/2001 NVILLARI 0012361800
DAN:061205 Name/Number:09656085 \$469.00
FC: 704

Repln. Ref: 03/16/2001 NVILLARI 0012361800
DAN:061205 Name/Number:09656085 \$130.00 CR
FC: 704

Applicant therefore requests that the Office refund \$594.00 to Deposit Account No. 06-1205 to account for the difference.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicants
Robert H. Fischer
Registration No. 30,051

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
JEROME CROS and) : Examiner: N/Y/A
PHILIPPE VIAROUGE) : Group Art Unit: 2834
Application No.: 09/656,085)
Filed: September 6, 2000)
For: BRUSH DC MOTORS AND AC)
COMMUTATOR MOTOR)
STRUCTURE WITH)
CONCENTRATED WINDINGS : March 2, 2001

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10:28:00 MAIL ROOM

Commissioner for Patents
Washington, D.C. 20231

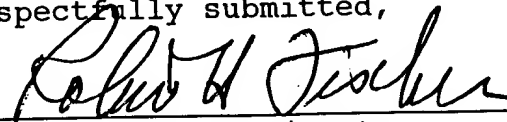
SUBMISSION OF SMALL ENTITY DECLARATION

Sir:

Enclosed for submission in this case is a original
Small Entity Declaration for the above-identified
application.

Applicants' undersigned attorney may be reached in
our New York office by telephone at (212) 218-2100. All
correspondence should continue to be directed to our address
given below.

Respectfully submitted,


Attorney for Applicants
Robert H. Fischer
Registration No. 30,051

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

Applicant or Patentee: Jerôme Cros and Philippe Viarouge

Application or Patent No.: 09/656,085

Filed or Issued: September 6, 2000

For: Brush DC Motors and AC Commutator Motor Structures With Concentrated Windings

Attorney's

Docket No.: 2809.1

STATEMENT CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN



I hereby state that I am

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the owner of the small business concern identified below:

an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN SELEM Electrotechnologies Inc.

ADDRESS OF 2610 Rue Goselin, Quebec PQ G1P3G1 CANADA

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled BRUSH DC MOTORS AND AC COMMUTATOR MOTOR STRUCTURES WITH CONCENTRATED WINDINGS

by inventor(s) Jerôme Cros and Philippe Viarouge
described in

☐
☒
☐

the specification filed herewith

application no. 09/656,085, filed September 6, 2000

patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)

NAME NONE

ADDRESS _____

☐

INDIVIDUAL

☐

SMALL BUSINESS CONCERN

☐

NONPROFIT ORGANIZATION

NAME NONE

ADDRESS _____

☐

INDIVIDUAL

☐

SMALL BUSINESS CONCERN

☐

NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Yves CHALIFOUR

TITLE OF PERSON OTHER THAN OWNER EXECUTIVE SECRETARY

ADDRESS OF PERSON SIGNING 2610 RUE GOSSELIN QUEBEC PQ CANADA G1P3G1

SIGNATURE Yves Chalifour

DATE JANUARY 11, 2001